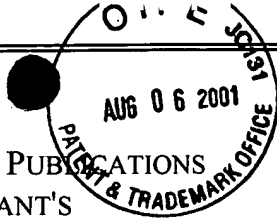


|   |  |                           |
|---|--|---------------------------|
| Form PTO-1449 (Modified)  | Atty Docket No.:<br>MS146917.2           | Serial No.:<br>09/666,246 |
| <b>LIST OF PATENTS AND PUBLICATIONS<br/>FOR APPLICANT'S<br/>INFORMATION DISCLOSURE STATEMENT</b><br>(Use several sheets if necessary) | Applicant: Mark T. Anders, <i>et al.</i> |                           |
|   | Filing Date:<br>9/21/00                  | Group: 2183               |



RECEIVED  
 AUG 08 2001  
 Group 2100

### REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| Examiner Initial |    | Document Number |   |   |   |   |   |   | Date     | Name         | Class | Subclass | Filing Date if Appropriate |
|------------------|----|-----------------|---|---|---|---|---|---|----------|--------------|-------|----------|----------------------------|
| UB               | AA | 5               | 9 | 6 | 1 | 6 | 0 | 1 | 10/05/99 | Iyengar      | 709   | 229      | 6/7/96                     |
| UB               | AB | 6               | 0 | 1 | 4 | 7 | 0 | 2 | 1/11/00  | King, et al. | 709   | 227      | 6/4/97                     |
| UB               | AC | 6               | 1 | 1 | 2 | 2 | 2 | 8 | 8/29/00  | Earl, et al. | 709   | 205      | 2/13/98                    |
|                  | AD |                 |   |   |   |   |   |   |          |              |       |          |                            |
|                  | AE |                 |   |   |   |   |   |   |          |              |       |          |                            |
|                  | AF |                 |   |   |   |   |   |   |          |              |       |          |                            |

### FOREIGN PATENT DOCUMENTS

| Examiner Initial |    | Document Number |  |  |  |  |  |  | Date | Country | Class | Subclass | Translation |    |
|------------------|----|-----------------|--|--|--|--|--|--|------|---------|-------|----------|-------------|----|
|                  |    |                 |  |  |  |  |  |  |      |         |       |          | Yes         | No |
|                  | AG |                 |  |  |  |  |  |  |      |         |       |          |             |    |

### OTHER ART(Including Author, Title, Date, Pertinent Pages, etc.)

|          |    |  |   |
|----------|----|--|---|
| UB       | AH |  | Kroger, R., <i>et al.</i> ; "Automated CORBA-based application management", <u>Distributed Applications and Interoperable Systems II. IFIP TC6 WG6.1 Second International Working Conference on Distributed Applications and Interoperable Systems</u> , 1999, p. 229-241 |
| UB       | AI |  | Gerodolle, A., <i>et al.</i> ; "A Middleware Approach to Building Large-Scale Open Shared Virtual Worlds", <u>Proceedings 33rd International Conference on Technology of Object-Oriented Languages and Systems TOOLS 33</u> , 2000, p.169-180                             |
| UB       | AJ |  | <i>Lesson: Overview of Servlets</i> , <a href="http://java.sun.com/docs/books/tutorial/servlets/overview/index.html">http://java.sun.com/docs/books/tutorial/servlets/overview/index.html</a> , Viewed April 3, 2001, p. 1-2  |
| UB       | AK |  | <i>Lesson: The Servlet Life Cycle</i> , <a href="http://java.sun.com/docs/books/tutorial/servlets/lifecycle/index.html">http://java.sun.com/docs/books/tutorial/servlets/lifecycle/index.html</a> , Viewed April 3, 2001, p. 1-2  |
|          | AL |  |   |
|          | AM |  |   |
| EXAMINER |    |  | DATE CONSIDERED 4-17-2003   |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

S:\HAM\MSFT\p137usa\HSApt01449.wpd

Form PTO-1449 (Modified)

Atty Docket No.:

MS146917.2

Serial No.:

09/666,246

LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)

Applicant: Mark T. Anders, *et al.*

Filing Date:

9/21/00

Group: 2183

## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| Examiner Initial |    | Document Number | Date | Name | Class | Subclass | Filing Date if Appropriate |
|------------------|----|-----------------|------|------|-------|----------|----------------------------|
|                  | AA |                 |      |      |       |          |                            |
|                  | AB |                 |      |      |       |          |                            |
|                  | AC |                 |      |      |       |          |                            |
|                  | AD |                 |      |      |       |          |                            |
|                  | AE |                 |      |      |       |          |                            |
|                  | AF |                 |      |      |       |          |                            |

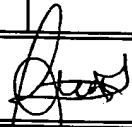
JAN 22 2002  
Technology Center 2100

RECEIVED

## FOREIGN PATENT DOCUMENTS

| Examiner Initial |    | Document Number | Date | Country | Class | Subclass | Translation |    |
|------------------|----|-----------------|------|---------|-------|----------|-------------|----|
|                  | AG |                 |      |         |       |          | Yes         | No |

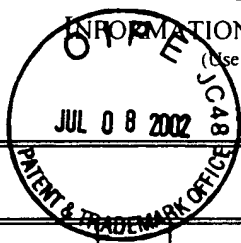
## OTHER ART(Including Author, Title, Date, Pertinent Pages, etc.)

|  |    |  |  |
|--|----|--|--|
| 4B   | AH |  | Bettini, L., <i>et al.</i> , "A Java 2 Network Class Loader", <u>Dr. Dobb's Journal</u> , vol. 26, no. 2, February 2001, p. 58, 60, 62, 64 |
|  | AI |  |  |
|  | AJ |  |  |
|  | AK |  |  |
|  | AL |  |  |
|  | AM |  |  |
| EXAMINER  |    |  | DATE CONSIDERED 4-17-2003  |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

S:\HAM\MSFT\p137usa\suppHSApto1449.wpd

|   |  |                           |
|---|--|---------------------------|
| Form PTO-1449 (Modified)  | Atty Docket No.:<br>MS146717.2           | Serial No.:<br>09/666,246 |
| <b>LIST OF PATENTS AND PUBLICATIONS<br/>FOR APPLICANT'S<br/>INFORMATION DISCLOSURE STATEMENT</b><br>(Use several sheets if necessary) | Applicant: Mark T. Anders, <i>et al.</i> |                           |
|   | Filing Date:<br>9/21/00                  | Group: 2183               |



ORIGINAL FILED IN PAPER

### REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Name | Class | Subclass | Filing Date if Appropriate |
|------------------|-----------------|------|------|-------|----------|----------------------------|
| AA               |                 |      |      |       |          |                            |
| AB               |                 |      |      |       |          |                            |
| AC               |                 |      |      |       |          |                            |
| AD               |                 |      |      |       |          |                            |
| AE               |                 |      |      |       |          |                            |
| AF               |                 |      |      |       |          |                            |

**RECEIVED**

**JUL 12 2002**

**Technology Center 2100**

### FOREIGN PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Country | Class | Subclass | Translation |    |
|------------------|-----------------|------|---------|-------|----------|-------------|----|
|                  |                 |      |         |       |          | Yes         | No |
| AG               |                 |      |         |       |          |             |    |

### OTHER ART(Including Author, Title, Date, Pertinent Pages, etc.)

|          |    |  |
|----------|----|--|
| YB       | AH | Tsaoussidis, V., et al., "A CORBA-based Application Service Middleware Architecture and Implementation" 1999, p. 1-7 |
|          | AI |  |
| EXAMINER |    | DATE CONSIDERED 4-24-2003  |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.